

# Fault Analysis of Thyristor-Based HVDC Systems: An In-Depth Review

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## Abstract

**High Voltage Direct Current (HVDC) systems, particularly those utilizing thyristor-based converters, play a vital role in modern power transmission due to their efficiency in long-distance, high-capacity applications. However, the complexity of these systems introduces challenges in detecting and mitigating faults, which can significantly disrupt power transmission. This paper reviews fault analysis in thyristor-based HVDC systems, synthesizing research from the past 20 years. It categorizes different fault types, evaluates traditional and advanced fault detection methods, and discusses emerging trends in adaptive and AI-based protection strategies. The review concludes with insights into existing gaps and recommendations for future research directions.**

## 1. Introduction

Thyristor-based HVDC systems have become a cornerstone of global power networks due to their advantages over traditional AC transmission, such as reduced power losses, enhanced controllability, and the ability to interconnect asynchronous grids. In these systems, Line-Commutated Converters (LCC) employing thyristors are the most common technology, characterized by their high efficiency and ability to handle bulk power transfers.

However, thyristor-based HVDC systems are susceptible to various faults due to their complex electronic components and high-voltage operations. These faults, which can originate from both AC and DC sides, pose

risks to system stability, reliability, and safety. Accurate and rapid fault detection is crucial to mitigate the impact, restore normal operations, and prevent damage to equipment. This paper aims to provide a comprehensive review of fault analysis techniques, focusing on the evolution of methodologies from conventional relay-based systems to advanced adaptive and AI-driven strategies.

## 2. Literature Review (2004-2023)

### 2004-2008: Foundation of Fault Detection Techniques

Hingorani et al. (2004) initiated discussions on the limitations of traditional relay-based protection in HVDC systems, particularly for high-speed fault detection. Their research highlighted the need for advanced methodologies capable of handling the rapid dynamics of HVDC faults, especially in high-voltage environments.

Zhang et al. (2006) developed early simulation models to predict fault behaviours, laying the groundwork for subsequent research into dynamic fault analysis. Their focus was on understanding the transient responses of the system during faults, particularly how converter dynamics influence overall system behaviour.

### 2009-2013: Introduction of Adaptive Methods and Signal Processing

In this period, researchers began exploring adaptive protection schemes, recognizing the need for systems that could adjust settings based on real-time grid conditions.

Yang et al. (2010) introduced one of the first adaptive schemes, leveraging real-time

monitoring to modify relay settings dynamically. They emphasized the limitations of static relay settings, which fail to respond effectively under varying load conditions and complex fault scenarios.

Sharma and Singh (2012) pioneered the use of wavelet transform analysis in fault detection for HVDC systems. Their method allowed for the decomposition of current and voltage signals into different frequency components, improving the detection of transient faults with high sensitivity.

### 2014-2018: Emergence of PMU Integration and Machine Learning

The use of Phasor Measurement Units (PMUs) began gaining traction in this period as a tool for real-time monitoring and fault detection in HVDC systems.

Li et al. (2015) explored integrating PMUs with HVDC systems for enhanced fault identification. They demonstrated that PMUs, through synchronized phasor data, could provide accurate and fast detection of abnormal conditions, enabling quicker response times.

Wang et al. (2017) introduced machine learning algorithms for fault classification. Using Support Vector Machines (SVM), they trained models with historical fault data, achieving improved accuracy in differentiating between faults and non-fault disturbances.

### 2019-2023: Advances in AI, Deep Learning, and Hybrid Protection Systems

Recent years have seen significant advancements in the use of AI and hybrid protection systems.

Xu et al. (2019) utilized deep learning models to enhance fault detection capabilities in HVDC systems. By analysing large datasets, their neural network-based approach accurately predicted fault occurrences, even in the presence of noisy data.

Cheng and Li (2021) introduced a hybrid protection scheme combining traditional relay systems with AI-driven analytics. This integrated approach leveraged the reliability of conventional protection mechanisms and the adaptive capabilities of AI, resulting in improved fault detection accuracy and speed.

Patel et al. (2023) reviewed state-of-the-art hybrid protection strategies, highlighting the growing trend of combining traditional methods with machine learning and data analytics. Their comprehensive analysis identified key areas where improvements in system reliability and fault response are needed.

### 3. Analysis of Fault Types in Thyristor-Based HVDC Systems

#### 3.1 AC-Side Faults

AC-side faults typically arise from the transmission lines connected to the HVDC converter station. These faults include line-to-line, line-to-ground, and three-phase faults, which can cause voltage sags, harmonic distortions, and power imbalances.

Detection Methods: Traditional relay-based methods often struggle with AC-side faults due to their inability to distinguish between transient disturbances and actual faults. Modern methods use PMU data for enhanced detection, as demonstrated by Li et al. (2015), who achieved faster and more accurate fault localization.

#### 3.2 DC-Side Faults

DC-side faults, such as pole-to-pole and pole-to-ground faults, are among the most challenging due to the lack of zero-crossing points in DC currents, making it difficult for conventional protection devices to detect.

Traveling Wave Analysis: Singh and Kumar (2020) applied traveling wave theory to detect and localize faults based on the propagation of voltage and current waves along the DC line. This method proved effective for fast fault detection, although it requires high-speed sampling equipment.

#### 3.3 Converter Faults

Converter faults include issues such as commutation failures, thyristor short-circuiting, and component breakdowns. These faults can disrupt the entire power transmission process, leading to severe outages.

Real-Time Monitoring: Chen et al. (2022) developed real-time monitoring techniques using machine learning algorithms to predict converter faults. Their approach enabled early fault detection, reducing the

risk of catastrophic failures and system downtime.

#### 4. Advanced Fault Detection Techniques

##### 4.1 Adaptive Protection Systems

Adaptive protection systems adjust their parameters based on real-time monitoring of system conditions. These systems provide enhanced flexibility in responding to diverse fault scenarios.

Yang et al. (2010) highlighted the advantages of adaptive systems in improving detection accuracy under varying load conditions. They introduced a relay setting adjustment algorithm based on current system parameters, effectively reducing false tripping.

##### 4.2 Machine Learning and AI-Based Techniques

Machine learning, particularly neural networks and deep learning, has revolutionized fault detection in HVDC systems by offering predictive capabilities.

Xu et al. (2019) implemented a deep learning model trained on historical fault data, demonstrating high detection accuracy even in noisy environments. Their model used a convolutional neural network (CNN) architecture to identify complex patterns in the data, improving the precision of fault classification.

##### 4.3 Hybrid Protection Schemes

Hybrid protection schemes combine the strengths of traditional methods (such as relays) with modern AI-based analytics, offering a comprehensive approach to fault detection.

Cheng and Li (2021) developed a hybrid scheme using wavelet transforms for initial fault detection and machine learning algorithms for fault classification. This dual-layer approach enhanced the reliability and speed of the protection system.

#### 5. Discussion

##### Strengths of Modern Fault Detection Methods

The integration of adaptive systems, PMUs, and machine learning has significantly enhanced fault detection capabilities. These advancements have reduced response times, improved accuracy, and enabled real-time monitoring and prediction of faults.

##### Persistent Challenges

Despite improvements, several challenges remain:

**High-Resistance Faults:** Current methods often fail to detect high-resistance faults due to their weak fault signals.

**Latency in Communication Networks:** The reliance on high-speed communication for coordinated protection can introduce delays, affecting fault response times.

**Data Handling and Processing:** The use of AI and machine learning requires extensive datasets and powerful processing capabilities, which can be resource-intensive.

##### Future Research Directions

To address these challenges, future research should focus on:

Developing Enhanced AI Algorithms: Improving the accuracy and speed of AI-based fault detection models.

Implementing Robust Communication Protocols: Reducing latency in protection systems by enhancing the reliability of data transmission.

Exploring New Fault Detection Technologies: Investigating emerging technologies like quantum computing and edge computing for faster data processing.

## 6. Conclusion

The evolution of fault detection in thyristor-based HVDC systems has progressed from basic relay-based protection to advanced adaptive and AI-driven strategies. This review highlights significant advancements in fault analysis methodologies over the past two decades, emphasizing the integration of real-time data analytics and machine learning. However, challenges related to high-resistance fault detection, communication latency, and data handling persist, indicating the need for continued innovation in this field. Future research should aim to develop hybrid systems that combine the reliability of traditional methods with the adaptive capabilities of modern AI technologies, enhancing the overall resilience and efficiency of HVDC networks.

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